

Strict density inequalities for sampling and interpolation sets in weighted spaces of holomorphic functions

Karlheinz Gröchenig, Antti Haimi, *Joaquim Ortega-Cerdà*, Jose Luis Romero

Universitat de Barcelona

March 11, 2021

Weighted Banach spaces of entire functions in \mathbb{C}^n

- $\phi : \mathbb{C}^n \rightarrow \mathbb{R}$ be a plurisubharmonic (psh) function. For $\phi \in \mathcal{C}^2$, this means that the complex Hessian

$$(\partial_j \bar{\partial}_k \phi(z))_{1 \leq j, k \leq n}$$

is positive definite for all z .

Weighted Banach spaces of entire functions in \mathbb{C}^n

- $\phi : \mathbb{C}^n \rightarrow \mathbb{R}$ be a plurisubharmonic (psh) function. For $\phi \in \mathcal{C}^2$, this means that the complex Hessian

$$(\partial_j \bar{\partial}_k \phi(z))_{1 \leq j, k \leq n}$$

is positive definite for all z .

- In 1D, plurisubharmonic is just subharmonic, more generally plurisubharmonic functions are subharmonic in \mathbb{R}^{2n} but not vice versa. The most basic example for us: $\phi(z) = |z|^2$.

Weighted Banach spaces of entire functions in \mathbb{C}^n

- $\phi : \mathbb{C}^n \rightarrow \mathbb{R}$ be a plurisubharmonic (psh) function. For $\phi \in \mathcal{C}^2$, this means that the complex Hessian

$$(\partial_j \bar{\partial}_k \phi(z))_{1 \leq j, k \leq n}$$

is positive definite for all z .

- In 1D, plurisubharmonic is just subharmonic, more generally plurisubharmonic functions are subharmonic in \mathbb{R}^{2n} but not vice versa. The most basic example for us: $\phi(z) = |z|^2$.
- Let A_ϕ^p , $p \in [1, \infty]$ be the closed subspace of entire functions inside $L^p(\mathbb{C}^n, e^{-\phi})$:

$$\int_{\mathbb{C}^n} |f|^p e^{-p\phi} < \infty.$$

When $\phi(z) = |z|^2$, this is the Bargmann-Fock space.

Weighted Banach spaces of entire functions in \mathbb{C}^n

- $\phi : \mathbb{C}^n \rightarrow \mathbb{R}$ be a plurisubharmonic (psh) function. For $\phi \in \mathcal{C}^2$, this means that the complex Hessian

$$(\partial_j \bar{\partial}_k \phi(z))_{1 \leq j, k \leq n}$$

is positive definite for all z .

- In 1D, plurisubharmonic is just subharmonic, more generally plurisubharmonic functions are subharmonic in \mathbb{R}^{2n} but not vice versa. The most basic example for us: $\phi(z) = |z|^2$.
- Let A_ϕ^p , $p \in [1, \infty]$ be the closed subspace of entire functions inside $L^p(\mathbb{C}^n, e^{-\phi})$:

$$\int_{\mathbb{C}^n} |f|^p e^{-p\phi} < \infty.$$

When $\phi(z) = |z|^2$, this is the Bargmann-Fock space.

- The space A_ϕ^2 is reproducing kernel Hilbert space. We denote the kernel K_ϕ . No explicit formula in general.

Sampling and interpolation

- A discrete set Λ is called sampling if

$$A\|f\|_p^p \leq \sum_{\lambda \in \Lambda} |f(\lambda)|^p e^{-p\phi(\lambda)} \leq B\|f\|_p^p$$

for all $f \in A_\phi^p$, with constants $A, B > 0$ independent of f .

Sampling and interpolation

- A discrete set Λ is called sampling if

$$A\|f\|_p^p \leq \sum_{\lambda \in \Lambda} |f(\lambda)|^p e^{-p\phi(\lambda)} \leq B\|f\|_p^p$$

for all $f \in A_\phi^p$, with constants $A, B > 0$ independent of f .

- A discrete set Λ is interpolating if for every sequence $(c_\lambda)_{\lambda \in \Lambda}$ such that $\sum_{\lambda} |c_\lambda|^p e^{-p\phi(\lambda)} < \infty$, we can find a function $f \in A_\phi^p$ such that $f(\lambda) = c_\lambda$ for all $\lambda \in \Lambda$.

Sampling and interpolation

- A discrete set Λ is called sampling if

$$A\|f\|_p^p \leq \sum_{\lambda \in \Lambda} |f(\lambda)|^p e^{-p\phi(\lambda)} \leq B\|f\|_p^p$$

for all $f \in A_\phi^p$, with constants $A, B > 0$ independent of f .

- A discrete set Λ is interpolating if for every sequence $(c_\lambda)_{\lambda \in \Lambda}$ such that $\sum_\lambda |c_\lambda|^p e^{-p\phi(\lambda)} < \infty$, we can find a function $f \in A_\phi^p$ such that $f(\lambda) = c_\lambda$ for all $\lambda \in \Lambda$.
- A sampling sequence is equivalent to knowing whether translations and modulations of the Gaussian are a frame in $L^2(\mathbb{R}^n)$.

Sampling and interpolation

- A discrete set Λ is called sampling if

$$A\|f\|_p^p \leq \sum_{\lambda \in \Lambda} |f(\lambda)|^p e^{-p\phi(\lambda)} \leq B\|f\|_p^p$$

for all $f \in A_\phi^p$, with constants $A, B > 0$ independent of f .

- A discrete set Λ is interpolating if for every sequence $(c_\lambda)_{\lambda \in \Lambda}$ such that $\sum_\lambda |c_\lambda|^p e^{-p\phi(\lambda)} < \infty$, we can find a function $f \in A_\phi^p$ such that $f(\lambda) = c_\lambda$ for all $\lambda \in \Lambda$.
- A sampling sequence is equivalent to knowing whether translations and modulations of the Gaussian are a frame in $L^2(\mathbb{R}^n)$.
- Intuitively, interpolating sets are sparse, sampling sets are dense.

Densities

- We try to understand sampling and interpolating sets in terms of densities. To this end we define upper density

$$D_K^+(\Lambda) = \limsup_{R \rightarrow \infty} \sup_{x \in \mathbb{C}^n} \frac{\#\{\Lambda \cap B(x, r)\}}{\int_{B(x, r)} K_\phi(w, w) e^{-2\phi(w)} dm(w)}$$

Densities

- We try to understand sampling and interpolating sets in terms of densities. To this end we define upper density

$$D_K^+(\Lambda) = \limsup_{R \rightarrow \infty} \sup_{x \in \mathbb{C}^n} \frac{\sharp(\Lambda \cap B(x, r))}{\int_{B(x, r)} K_\phi(w, w) e^{-2\phi(w)} dm(w)}$$

and also the lower one

$$D_K^-(\Lambda) = \liminf_{R \rightarrow \infty} \inf_{x \in \mathbb{C}^n} \frac{\sharp(\Lambda \cap B(x, r))}{\int_{B(x, r)} K_\phi(w, w) e^{-2\phi(w)} dm(w)}.$$

Densities

- We try to understand sampling and interpolating sets in terms of densities. To this end we define upper density

$$D_K^+(\Lambda) = \limsup_{R \rightarrow \infty} \sup_{x \in \mathbb{C}^n} \frac{\#\left(\Lambda \cap B(x, r)\right)}{\int_{B(x, r)} K_\phi(w, w) e^{-2\phi(w)} dm(w)}$$

and also the lower one

$$D_K^-(\Lambda) = \liminf_{R \rightarrow \infty} \inf_{x \in \mathbb{C}^n} \frac{\#\left(\Lambda \cap B(x, r)\right)}{\int_{B(x, r)} K_\phi(w, w) e^{-2\phi(w)} dm(w)}.$$

- We need also another pair of densities:

$$D_{\partial\bar{\partial}\phi}^+(\Lambda) = \limsup_{R \rightarrow \infty} \sup_{x \in \mathbb{C}^n} \frac{\#\left(\Lambda \cap B(x, r)\right)}{\int_{B(x, r)} (i\partial\bar{\partial}\phi(w))^n},$$

where $(i\partial\bar{\partial}\phi)^n = \det(\partial_j\bar{\partial}_k\phi(z))_{1 \leq j, k \leq n} dm(z)$. $D_{\partial\bar{\partial}\phi}^-(\Lambda)$ defined analogously.

Previous results

- We will from now on assume that $A \cdot Id \leq (\partial_j \bar{\partial}_k \phi)_{1 \leq j, k \leq n} \leq B \cdot Id$ in the sense of pos-def matrices. General necessary density condition à la Landau: $D_K^-(\Lambda) \geq 1$ for sampling sets and $D_K^+(\Lambda) \leq 1$ for interpolating sets.

Previous results

- We will from now on assume that $A \cdot Id \leq (\partial_j \bar{\partial}_k \phi)_{1 \leq j, k \leq n} \leq B \cdot Id$ in the sense of pos-def matrices. General necessary density condition à la Landau: $D_K^-(\Lambda) \geq 1$ for sampling sets and $D_K^+(\Lambda) \leq 1$ for interpolating sets.
- In 1D, there is a complete characterization: $D_{\partial \bar{\partial} \phi}^-(\Lambda) > 2/\pi$ iff Λ is sampling and $D_{\partial \bar{\partial} \phi}^+(\Lambda) < 2/\pi$ iff Λ is interpolating. Notice that here we use a different density!

Previous results

- We will from now on assume that $A \cdot Id \leq (\partial_j \bar{\partial}_k \phi)_{1 \leq j, k \leq n} \leq B \cdot Id$ in the sense of pos-def matrices. General necessary density condition à la Landau: $D_K^-(\Lambda) \geq 1$ for sampling sets and $D_K^+(\Lambda) \leq 1$ for interpolating sets.
- In 1D, there is a complete characterization: $D_{\partial \bar{\partial} \phi}^-(\Lambda) > 2/\pi$ iff Λ is sampling and $D_{\partial \bar{\partial} \phi}^+(\Lambda) < 2/\pi$ iff Λ is interpolating. Notice that here we use a different density!
- With a little work, combining these results shows that in 1D, the two densities are equal (modulo constant factor). Also true when $n \geq 2$ when ϕ is 2-homogeneous (Lindholm).

Previous results

- We will from now on assume that $A \cdot Id \leq (\partial_j \bar{\partial}_k \phi)_{1 \leq j, k \leq n} \leq B \cdot Id$ in the sense of pos-def matrices. General necessary density condition à la Landau: $D_K^-(\Lambda) \geq 1$ for sampling sets and $D_K^+(\Lambda) \leq 1$ for interpolating sets.
- In 1D, there is a complete characterization: $D_{\partial \bar{\partial} \phi}^-(\Lambda) > 2/\pi$ iff Λ is sampling and $D_{\partial \bar{\partial} \phi}^+(\Lambda) < 2/\pi$ iff Λ is interpolating. Notice that here we use a different density!
- With a little work, combining these results shows that in 1D, the two densities are equal (modulo constant factor). Also true when $n \geq 2$ when ϕ is 2-homogeneous (Lindholm).
- Complete characterization of sampling and interpolation not possible in terms of Beurling density when $n \geq 2$.

Balian-Low type strict density inequality

Theorem (Gröchenig, Haimi, Ortega-Cerda, Romero)

$D_K^-(\Lambda) > 1$ for sampling sets and $D_K^+(\Lambda) < 1$ for interpolating sets.

- Cf. Balian-Low in time-frequency analysis.

Balian-Low type strict density inequality

Theorem (Gröchenig, Haimi, Ortega-Cerda, Romero)

$D_K^-(\Lambda) > 1$ for sampling sets and $D_K^+(\Lambda) < 1$ for interpolating sets.

- Cf. Balian-Low in time-frequency analysis.
- In $1 - D$, this was proved by using certain arguments involving specifically $1 - D$ complex analysis.

Balian-Low type strict density inequality

Theorem (Gröchenig, Haimi, Ortega-Cerda, Romero)

$D_K^-(\Lambda) > 1$ for sampling sets and $D_K^+(\Lambda) < 1$ for interpolating sets.

- Cf. Balian-Low in time-frequency analysis.
- In $1 - D$, this was proved by using certain arguments involving specifically $1 - D$ complex analysis.
- We also show that this is sharp by constructing a critical set Λ such that Λ is interpolating for $A_{(1+\epsilon)\phi}^2$ and Λ is sampling for $A_{(1-\epsilon)\phi}^2$.

Translated weights

- Crucial part of our argument are the translation type operators.

Translated weights

- Crucial part of our argument are the translation type operators.
- For $\lambda \in \mathbb{C}^n$, define a certain "translated" weight ϕ_λ , as a certain solution of the equation

$$\partial\bar{\partial}\phi_\lambda = \partial\bar{\partial}\phi(\cdot - \lambda).$$

Because the form $\partial\bar{\partial}\phi$ is bounded from above uniformly, we can employ an $\bar{\partial}$ estimate of Berndtsson-Andersson and Poincare lemma to show that

$$\phi_\lambda(z) \leq C|z|^2 \log(|z|^2 + 1)$$

for a constant C independent of λ . The exact growth rate in z is irrelevant but uniformity in λ is the key.

Translation type operators

- We now define the translation type operators with using the translated weights

Translation type operators

- We now define the translation type operators with using the translated weights
- Let $q(z, \lambda)$ be a holomorphic function z satisfying

$$\operatorname{Re}q(z, \lambda) = \phi_\lambda - \phi(\cdot - \lambda)$$

(right hand side is harmonic because of the def of ϕ_λ).

- Define

$$T_\lambda f(z) = f(z - \lambda)e^{q(z, \lambda)}.$$

A small computation shows that

$$T_\lambda : A_\phi^p \rightarrow A_{\phi_\lambda}^p$$

is an isometric isomorphism. These are our generalized translation operators.

Sampling and interpolation sets same for all p

- An important part of the proof is to show that sampling and interpolation sets are the same for all p , i.e. if Λ is sampling (or interpolation) for A_ϕ^p for some $p \in [1, \infty]$, then it is sampling (or interpolation) for all $p \in [1, \infty]$.

Sampling and interpolation sets same for all p

- An important part of the proof is to show that sampling and interpolation sets are the same for all p , i.e. if Λ is sampling (or interpolation) for A_ϕ^p for some $p \in [1, \infty]$, then it is sampling (or interpolation) for all $p \in [1, \infty]$.
- This is done by applying theory of localized frames and an extended version of Sjöstrand's Wiener type lemma.

Sampling and interpolation sets same for all p

- An important part of the proof is to show that sampling and interpolation sets are the same for all p , i.e. if Λ is sampling (or interpolation) for A_ϕ^p for some $p \in [1, \infty]$, then it is sampling (or interpolation) for all $p \in [1, \infty]$.
- This is done by applying theory of localized frames and an extended version of Sjöstrand's Wiener type lemma.
- This part does not rely on we dealing with analytic functions.

Outline of the proof

- Proof uses Beurling's basic method of "weak limits" and adds Sjöstrand's Wiener type lemma. The setting is not translation invariant: we replace these by the translation type operators.

Outline of the proof

- Proof uses Beurling's basic method of "weak limits" and adds Sjöstrand's Wiener type lemma. The setting is not translation invariant: we replace these by the translation type operators.
- Let's discuss lower bound in sampling. Suppose the result is not true. Suppose there is a sampling set Λ s.t. $D_K^-(\Lambda) = 1$. Then by the non-strict condition, $\Lambda_j := (1 + 1/j)\Lambda$ is not sampling for any j . Naive attempt: take functions $\|f_j\| = 1$ whose energy on the set Λ_j is small and find a limiting function f which vanishes on Λ , therefore violating the assumption that Λ is sampling.

Outline of the proof

- Proof uses Beurling's basic method of "weak limits" and adds Sjöstrand's Wiener type lemma. The setting is not translation invariant: we replace these by the translation type operators.
- Let's discuss lower bound in sampling. Suppose the result is not true. Suppose there is a sampling set Λ s.t. $D_K^-(\Lambda) = 1$. Then by the non-strict condition, $\Lambda_j := (1 + 1/j)\Lambda$ is not sampling for any j . Naive attempt: take functions $\|f_j\| = 1$ whose energy on the set Λ_j is small and find a limiting function f which vanishes on Λ , therefore violating the assumption that Λ is sampling.
- Doesn't work! Because how would we know that f is not identically zero?

More on the proof

- Solution via application Sjöstrand's Wiener type lemma: we show that sampling sets are the same for each p ! Therefore we can work with $p = \infty$.

More on the proof

- Solution via application Sjöstrand's Wiener type lemma: we show that sampling sets are the same for each p ! Therefore we can work with $p = \infty$.
- Good property of infinity norms: when $\|f_j\| = 1$, there are points z_j such that $|f_j(z_j)|e^{-\phi(z_j)} > 1/2$.

More on the proof

- Solution via application Sjöstrand's Wiener type lemma: we show that sampling sets are the same for each p ! Therefore we can work with $p = \infty$.
- Good property of infinity norms: when $\|f_j\| = 1$, there are points z_j such that $|f_j(z_j)|e^{-\phi(z_j)} > 1/2$.
- Suppose the space is translation invariant (generally not true). Then we would translate f_j so that the value at the origin is $\geq 1/2$ and then find a limiting function f . By construction $|f(0)|e^{-2\phi(0)} > 1/2$ so it's not identically zero and the convergence is uniform on compacts.

More on the proof

- Solution via application Sjöstrand's Wiener type lemma: we show that sampling sets are the same for each p ! Therefore we can work with $p = \infty$.
- Good property of infinity norms: when $\|f_j\| = 1$, there are points z_j such that $|f_j(z_j)|e^{-\phi(z_j)} > 1/2$.
- Suppose the space is translation invariant (generally not true). Then we would translate f_j so that the value at the origin is $\geq 1/2$ and then find a limiting function f . By construction $|f(0)|e^{-2\phi(0)} > 1/2$ so it's not identically zero and the convergence is uniform on compacts.
- This function f vanishes on some set Γ . It can be shown that Γ arises as a weak limit of the translates of the original set Λ and that Γ is sampling because Λ is. We have a contradiction!

Construction of near-critical sets, part I

- The near-critical sets are constructed as limits of Fekete point type configurations. Here we are inspired by previous work showing a connection between sampling and interpolation sets and Fekete points.

Construction of near-critical sets, part I

- The near-critical sets are constructed as limits of Fekete point type configurations. Here we are inspired by previous work showing a connection between sampling and interpolation sets and Fekete points.
- Let V_n be an increasing sequence of subspaces of A_ϕ^2 such that $\dim V_n = n$ and that $\cup_n V_n = A_\phi^2$. Let $p_j^{(n)}, j = 1, 2, \dots, n$ be an ONB for V_n . Define $\Lambda_n = (\lambda_1^{(n)}, \dots, \lambda_n^{(n)})$ as the "Fekete configuration" related to V_n , i.e. a set that maximises

$$\Delta(\lambda_1^{(n)}, \dots, \lambda_n^{(n)}) := \det[p_j^{(n)}(\lambda_k^{(n)})]_{1 \leq j, k \leq n} e^{-\phi(\lambda_1^{(n)}) - \dots - \phi(\lambda_n^{(n)})}.$$

Construction of near-critical sets, part I

- The near-critical sets are constructed as limits of Fekete point type configurations. Here we are inspired by previous work showing a connection between sampling and interpolation sets and Fekete points.
- Let V_n be an increasing sequence of subspaces of A_ϕ^2 such that $\dim V_n = n$ and that $\cup_n V_n = A_\phi^2$. Let $p_j^{(n)}, j = 1, 2, \dots, n$ be an ONB for V_n . Define $\Lambda_n = (\lambda_1^{(n)}, \dots, \lambda_n^{(n)})$ as the "Fekete configuration" related to V_n , i.e. a set that maximises

$$\Delta(\lambda_1^{(n)}, \dots, \lambda_n^{(n)}) := \det[p_j^{(n)}(\lambda_k^{(n)})]_{1 \leq j, k \leq n} e^{-\phi(\lambda_1^{(n)}) - \dots - \phi(\lambda_n^{(n)})}.$$

- We will need the Lagrange interpolants $l_j^{(n)}, j = 1, \dots, n$ related to Λ_n .

Construction of near-critical sets, part II

- We look at interpolation only. Enough to focus on L^1 case

Construction of near-critical sets, part II

- We look at interpolation only. Enough to focus on L^1 case
- The sets $\Lambda^{(n)}$ possess a subsequence that has as its weak limit some separated set Λ . By passing to a further subsequence, we find interpolating functions l_λ as a limit of the Lagrange interpolants, solving the interpolation problem $l_\lambda(\lambda) = 1, l_\lambda(\mu) = 0$ for $\mu \in \Lambda, \mu \neq \lambda$.

Construction of near-critical sets, part II

- We look at interpolation only. Enough to focus on L^1 case
- The sets $\Lambda^{(n)}$ possess a subsequence that has as its weak limit some separated set Λ . By passing to a further subsequence, we find interpolating functions l_λ as a limit of the Lagrange interpolants, solving the interpolation problem $l_\lambda(\lambda) = 1, l_\lambda(\mu) = 0$ for $\mu \in \Lambda, \mu \neq \lambda$.
- The limit functions satisfy $\|l_\lambda\|_\infty \leq 1$. Therefore given some sequence $(c_\lambda) \in l^1(\Lambda)$, we define $l := \sum_\lambda c_\lambda l_\lambda$. This function satisfies $l(\lambda) = c_\lambda$, with

$$\|l\|_\infty \leq \|c\|_{l^1}$$

Construction of near-critical sets, part II

- We look at interpolation only. Enough to focus on L^1 case
- The sets $\Lambda^{(n)}$ possess a subsequence that has as its weak limit some separated set Λ . By passing to a further subsequence, we find interpolating functions l_λ as a limit of the Lagrange interpolants, solving the interpolation problem $l_\lambda(\lambda) = 1$, $l_\lambda(\mu) = 0$ for $\mu \in \Lambda$, $\mu \neq \lambda$.
- The limit functions satisfy $\|l_\lambda\|_\infty \leq 1$. Therefore given some sequence $(c_\lambda) \in l^1(\Lambda)$, we define $l := \sum_\lambda c_\lambda l_\lambda$. This function satisfies $l(\lambda) = c_\lambda$, with

$$\|l\|_\infty \leq \|c\|_{l^1}$$

- The function l is not in the desired space yet. We improve the localization as follows

$$\tilde{l}_\lambda := l_\lambda(z) \frac{K_{\epsilon\phi}(z, \lambda) e^{-\epsilon\phi(z) - \epsilon\phi(\lambda)}}{K_{\epsilon\phi}(\lambda, \lambda) e^{-2\epsilon\phi(\lambda)}}$$

for some small ϵ .

Construction of near critical sets, part III

The modified function $\sum c_\lambda \tilde{l}_\lambda$ solves the same interpolation problem as before and belongs to $A_{(1+\epsilon)\phi}^1 \cdot e^{-(1+\epsilon)\phi}$.

Beyond analytic functions

- The arguments for strict density inequalities rely on off-diagonal decay of the reproducing kernel and some generalized notion of translation invariance. The off-diagonal decay comes when applying the Wiener type lemma.

Beyond analytic functions

- The arguments for strict density inequalities rely on off-diagonal decay of the reproducing kernel and some generalized notion of translation invariance. The off-diagonal decay comes when applying the Wiener type lemma.
- For example: in Paley Wiener space, strict density theorems are not true and repeating the same argument as we did doesn't work because of weaker off-diagonal decay.

Beyond analytic functions

- The arguments for strict density inequalities rely on off-diagonal decay of the reproducing kernel and some generalized notion of translation invariance. The off-diagonal decay comes when applying the Wiener type lemma.
- For example: in Paley Wiener space, strict density theorems are not true and repeating the same argument as we did doesn't work because of weaker off-diagonal decay.
- The construction of near critical functions is also quite general.